



SHEET ____1 OF ___4___

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 4154-9-CIP

SERIAL NO. 09/540,657

INFORMATION DISCLOSURE STATEMENT
 (Use several sheets if necessary)

APPLICANT Redmond et

March 31, 2000

FILING DATE

Redmond et al.

GROUP AR 275

OHALL 194 20 194 E

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROP. |
|----------------------|----|-----------------|----------|--------------------|-------|--------------|---------------------------|
| KL | AA | 3,530,441 | 9/22/70 | Ovshinsky | 340 | 173 | |
| KL | AB | 3,868,651 | 2/25/75 | Ovshinsky | 340 | 173 | |
| KL | AC | 3,983,542 | 9/28/76 | Ovshinsky | 340 | 173 | |
| KL | AD | 4,205,387 | 5/27/80 | Ovshinsky et al. | 364 | 900 | |
| KL | AE | 4,542,495 | 9/17/85 | Ziegler et al. | 369 | 273 | |
| KL | AF | 4,571,718 | 2/18/86 | Cahill et al. | 369 | 291 | |
| KL | AG | 4,577,289 | 3/18/86 | Comerford et al. | 364 | 900 | |
| KL | АН | 4,647,944 | 3/3/87 | Gravesteijn et al. | 346 | 1.1 | |
| KL | ΑI | 4,710,899 | 12/1/87 | Young et al. | 365 | 113 | |
| ΚĽ | AJ | 4,872,156 | 10/3/89 | Steenbergen et al. | 369 | 275 | , |
| KL | AK | 4,945,530 | 7/31/90 | Sandeli et al. | 369 | 291 | |
| KL | AL | 4,953,209 | 8/28/90 | Ryder, Sr. et al. | 380 | 23 | |
| KL | АМ | 4,960,680 | 10/2/90 | Pan et al. | 430 | 346 | |
| KL | AN | 5,060,106 | 10/22/91 | Davis et al. | 360 | 133 | |
| KL | AO | 5,063,558 | 11/5/91 | Takahaski | 369 | 291 | |
| ΚL | ΑP | 5,073,889 | 12/17/91 | Rayner | 369 | 291 | |
| id l | AQ | 5,077,726 | 12/31/91 | Dodds et al. | 369 | 291 | |
| XU | AR | 5,103,476 | 4/7/92 | Waite et al. | 380 | 4 | |
| ĺŽÌ | AS | 5,128,099 | 7/7/92 | Strand et al. | 420 | 579 | |
| KL | АТ | 5,146,552 | 9/8/92 | Cassorla et al. | 395 | 145 | |
| 14 | AU | 5,247,575 | 9/21/93 | Sprague et al. | 380 | 9 | |

| EXAMINER | Kimben | Ulule | |
|----------|--------|-------|--|
|----------|--------|-------|--|

DATE CONSIDERED

06/20/2003



| SHEET 2 | OF _ | 4 | |
|---------|------|---|--|
|---------|------|---|--|

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 4154-9-CIP

SERIAL NO. 09/540,657

4134-9-616 0

INFORMATION DISCLOSURE STATEMENT
 (Use several sheets if necessary)

APPLICANT Redmond et al.

March 31, 2000

FILING DATE

GROUP ARE 2753

| | | | | | 706 | EC |
|--------|-----------|----------|------------------|------|--------|------|
| K AV | 5,271,978 | 12/21/93 | Vazan et al. | ,428 | Z442 | |
| KLAW | 5,272,693 | 12/21/93 | Fujisawa | 369 | E29123 | m |
| KL AX | 5,280,467 | 1/18/94 | Wanger et al. | 369 | R5.5 | O |
| KL AY | 5,323,380 | 6/21/94 | Oda et al. | 369 | 275.1 | 14.5 |
| KU AZ | 5,331,627 | 7/19/94 | Childers et al. | 369. | 291 | |
| K BA | 5,339,091 | 8/16/94 | Yamazaki et al. | 345 | 104 | |
| KL BB | 5,379,266 | 1/3/95 | Russell | 365 | 234 | |
| K BC | 5,436,871 | 7/25/95 | Russell | 365 | 234 | |
| KL BD | 5,447,768 | 9/5/95 | Takahashi | 428 | 66.6 | |
| KL BE | 5,465,238 | 11/7/95 | Russell | 365 | 234 | * |
| KV BF | 5,475,399 | 12/12/95 | Borsuk | 345 | 130 | |
| íKL BG | 5,511,035 | 4/23/96 | Russell | 365 | 234 | |
| KL BH | 5,511,058 | 4/23/96 | Visel et al. | 369 | 103 | |
| X BI | 5,532,920 | 7/2/96 | Hartrick et al. | 364 | 419.1 | |
| X BJ | 5,534,385 | 7/9/96 | Spahn | 430 | 270.13 | |
| ВК | 5,541,888 | 7/30/96 | Russell | 365 | 234 | |
| KV BL | 5,555,304 | 9/10/96 | Hasebe et al. | 380 | 4 | |
| ВМ | 5,563,947 | 10/8/96 | Kikinis | 380 | 4 | |
| BN | 5,581,540 | 12/3/96 | Dang | 369 | 291 | 2 4 |
| KI BO | 5,587,994 | 12/24/96 | Nagaura et al. | 369 | 291 | |
| V L BP | 5,591,501 | 1/7/97 | Ovshinsky et al. | 428 | 64.1 | |
| K BQ | 5,610,902 | 3/11/97 | Childers et al. | 369 | 289 | |
| BR | 5,619,488 | 4/8/97 | Ota et al. | 369 | 112 | |
| X U BS | 5,629,980 | 5/13/97 | Stefik et al. | 380 | 4 | |
| K) BT | 5,638,241 | 6/10/97 | Sonderegger | 360 | 133 | |

| EXAMINER | Kimbienthile | DATE CONSIDERED | 06/20/20 | 03 |
|----------|--------------|-----------------|-------------|----|
| | | | | |

OT 2 0 2000 P

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

| SHEET | 3 | _ OF _ | 4 |
|-------|---|--------|---|
|-------|---|--------|---|

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 4154-9-CIP

SERIAL NO. 09/540,657

APPLICANT

Redmond et al.

FILING DATE
March 31, 2000

GROUP ART 2753 . 374 475

| | | | | | • | <u> </u> | |
|-----|----|-----------|----------|-----------------|--------------------|--------------------|----|
| KL | BU | 5,654,856 | 8/5/97 | Akiyama et al. | 360 | 183 | R |
| 'KL | вv | 5,696,714 | 12/9/97 | Russell | 365 | 1060 | CE |
| KU | BW | 5,703,951 | 12/30/97 | Dolphin | 380 | 25 | V |
| KU | вх | 5,719,850 | 2/17/98 | Yoshioka et al. | 369 | 2835 | 0 |
| KL | вү | 5,719,972 | 2/17/98 | Caron et al. | 385 | 0 0 18 | |
| KU | BZ | 5,726,971 | 3/10/98 | Wanger et al. | £369 | - <u>\$</u> 291 | |
| иl | CA | 5,732,058 | 3/24/98 | Iwamura et al. | t.∵. 369 | 75.2 | |
| KL | СВ | 5,737,300 | 4/7/98 | Ota et al. | 369 | 112 | |
| KL | СС | 5,748,609 | 5/5/98 | Tanaka | . 369 | 291 | |
| til | CD | 5,757,584 | 5/26/98 | Schick | 360 | 99.08 | |
| KU | CE | 5,757,908 | 5/26/98 | Cooper et al. | 380 | 4 | |
| Kl | CF | 5,764,603 | 6/9/98 | Glaser-Inbari | 369 | 44.23 | |
| KU | CG | 5,765,152 | 6/9/98 | Erickson | 707 | 9 | |
| KL | СН | 5,768,241 | 6/16/98 | Kanazawa et al. | 369 | 77.2 | |
| KL | CI | 5,793,584 | 8/11/98 | Knight et al. | 360 | 133 | |
| KL | СЛ | 5,793,742 | 8/11/98 | Sandell et al. | 369 | 291 | |
| W | ск | 5,796,697 | 8/18/98 | Masaki et al. | 369 | 75.2 | |
| KU | CL | 5,799,157 | 8/25/98 | Escallon | 395 | 227 | |
| KL | СМ | 5,808,986 | 9/15/98 | Jewell et al. | 369 | 44.37 | |
| KL | CN | 5,812,519 | 9/22/98 | Kawamura et al. | 369 | 275.1 | |
| KL | со | 5,828,482 | 10/27/98 | Jain | 359 | 211 | |
| KL | СР | 5,831,967 | 11/3/98 | Otsuka et al. | 369 | 291 | |
| KL | CQ | 5,831,968 | 11/3/98 | Tanaka | 369 | 291 | |
| KL | CR | 5,838,653 | 11/17/98 | Fan et al. | 369 | 275.1 | |
| K | cs | 5,850,384 | 12/15/98 | Ohmori et al. | 369 | 291 | |

| | | | | |
|----------|-------------|-----------------|------------|---|
| EXAMINER | Kimberthile | DATE CONSIDERED | 06/20/2003 | |
| | | | • | • |



| SHEET 4 OF 4 | |
|--------------|--|
|--------------|--|

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 4154-9-CIP

SERIAL NO.

09/540,657

INFORMATION DISCLOSURE STATEMENT
 (Use several sheets if necessary)

Redmond et al.

FILING DATE

APPLICANT

March 31, 2000

GROUP ART 2753

| KI. | ст | 5,857,021 | 1/5/99 | Kataoka et al. | 380 | 4 | |
|-----|-----|-----------|---------|--------------------|-----|-------|---|
| KL | CU | 5,859,829 | 1/12/99 | Otsuka et al. | 369 | 77.2 | |
| Ki. | cv_ | 5,870,364 | 2/9/99 | Raczynski | 369 | 47 - | |
| KL | CW | 5,876,823 | 3/2/99 | Nagashima | 428 | 64.1 | |
| KL | сх | 5,859,831 | 1/12/99 | Naito et al. | 369 | 291 | |
| KL | CY | 5,881,038 | 3/9/99 | Oshima et al. | 369 | 59 | |
| KL | cz | 5,886,979 | 3/23/99 | Moribe et al. | 369 | 275.3 | |
| KL | DA | 5,892,825 | 4/6/99 | Mages et al. | 380 | 3 | |
| KL | DB | 5,897,324 | 4/27/99 | Tan | 434 | 317 | |
| KI | DC | 5,912,786 | 6/15/99 | Nicklos et al. | 360 | 99.09 | |
| KL | DD | 5,930,074 | 7/27/99 | Nicklos | 360 | 99.06 | |
| KL | DE | 5,930,215 | 7/27/99 | Fite et al. | 369 | 58 | |
| KL | DF | 5,946,282 | 8/31/99 | Hirono et al. | 369 | 112 | |
| M | DG | 5,949,601 | 9/7/99 | Braithwaite et al. | 360 | C 60 | |
| ki | DH | 5,963,532 | 10/5/99 | Hajjar | 369 | 71125 | 卍 |

DEIVED

EXAMINER

Kimbenthile

DATE CONSIDERED

06/20/2003

| EL. | 5 |
|-----|---|
| ₩ | • |

| Sheet 1 of | Sheet 1 of 1 | | | | | | | |
|----------------------|---|--------------------|---|--------------------|---------------|--------------|--------------|--|
| FORM PTO 1449 |) (<i>modified</i>) DEPARTMENT OF COM | MERCE | ATTY DOCKET NO. 016778-0394 SERIAL NO. 09/431,254 | | | | | |
| PATE LIST OF RE | NT AND TRADEMARK FERENCES CITED BY A | OFFICE PPLICANT(S) | APPLICANT Tetsuharu KAWASAKI | | | | | |
| (Us | e several sheets if nece to PTO: November 7, 2 | ssary) | FILING DATE November 1, 1999 | | GROUP 2732 | | | |
| | | | U.S. PATENT DOCUMENTS | | | | | |
| | DOGUNA SALT | | | | | FILING I | DATE IF | |
| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | APPRO | | |
| | | |) · · · | | | _ | | |
| | | | 718 | | | | | |
| | | | OIPE | | | | | |
| | | | 1 3 2000 ANEMARK | | | | | |
| | | | 3 . B | | | | | |
| | | | TANEMARK | | | | | |
| | | | | | | | | |
| | | | FOREIGN PATENT DOCUMENT | S | <u> </u> | <u> </u> | | |
| ¥4- | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANS YES | LATION NO | |
| KL | 62-52762 | 3/7/87 | Japan | - | | Abstract | | |
| XI. | 60-129976 | 7/11/85 | Japan | | | Abstract | | |
| KU | 61-271672 | 12/01/86 | Japan | | | Abstract | | |
| KL | 60-231982 | 11/18/85 | Japan | | | Abstract | | |
| KL | 1-107371 | 4/25/89 | Japan | | | Abstract | | |
| KL | 7-3027 | 1/17/95 | Japan | | | | × | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | OTHER DOCUM | ENT(S) (Including Author, Title, Date | e, Pertinent Pages | s, Etc.) | | | |
| | | | | | | | | |
| | | | | | | | | |
| EXAMINER | Kin | 140 10 10 10 | DATE CO | NSIDERED (| 6/20 /201 | つろ | | |

Sheet 1 of 1 U.S. Department of Commerce, Patent and Trademark Office Atty Docket No. Serial No. M-8535-1P US 09/540,657 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant(s) (Use several sheets if necessary) Redmond, et al. Filing Date Group March 31, 2000 U.S. Patent Documents *Examiner Document Filing Date Initial Number Date Name Class Subclass If Appropriate Feb. 15, 1994 AA5,286,338 Feldblum, et al. 156 643 AB Oct. 3, 2000 Feldman, et al. 359 565 6,128,134 AC AD ΑE AF AG RECEIVED AΗ ΑI SEP 0 6 2002 ΑJ Technology Center 2600 ΑK **Foreign Patent Documents** Translation Document Date Country Class Subclass Yes No WO 00/33121 8 June 2000 AL **PCT** AM EP 0 886 352 A1 23 Dec. 1998 EP AN 11176744 2 July 1999 JР AO AP ART (Including Author, Title, Date, Pertinent Pages, Etc.) Brown, et al.; "Anamorphic Lenses For Laser Diode Circularization", in Laser Diodes and LEDs In AQ Industrial, Measurement, Imaging and Sensors Applications II: Testing, Packaging and Reliabilioty of Semiconductor Lasers V, Preceedings of SPIE, vol. 3945 (2000) AR AS

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

Date Considered

06/15

/2003

Examiner